



# Trading Floor Research

Welcome to the  
— Trading Floor —



TFR

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04/04/21

## This Trading Floor Research report contains:

- Electronic-Semiconductor Equipment industry group buy signal on Thursday.
- A buy signal on the VanEck Vectors Semiconductor ETF (SMH). A diversified way to play the semiconductors.
- Brooks Automation (BRKS). A buy candidate in the Electronic-Semiconductor Equipment industry group.

## Electronic Semiconductor Equipment Stocks give Buy Signal!

For the first time in many weeks, traders added risk back into their portfolios on Thursday. Stocks showed the “risk on” trade could be back. One day doesn’t make a market, and many industry groups continue to languish with no buy signal. Industry groups that tried to push higher on Thursday did not produce above average volume. This showed hesitation from the big institutional money. Yet, I was happy to see persistent higher prices from many individual stocks.

Bonds also rallied on Thursday. But the 10-year US Treasury only got back to its 10-day moving average. This still shows a very strong trend lower, and highlights caution.

Last week’s positive market behavior has not changed the amount of distribution I am seeing in both the S&P 500 and the Dow Jones Industrial Average. Accumulation/Distribution on the S&P 500 is at D-, and the DJIA is at an E. The Put/Call ratio is at .60. This shows traders are still extremely bullish even after all the whipsaws the market has given over the past few weeks. The market may still chop around to shake that confidence.

The most encouraging move I saw on Thursday came from semiconductors. The Electronic-Semiconductor Equipment industry group was up 6.6% with volume that was 24% above average. This was a very strong industry group buy signal. The excitement could come from the fact that President Biden wants to include funding for semiconductor companies in the \$4 Trillion infrastructure bill. This could add a lot of fuel to a sector that is already experiencing supply shortages.

Buying the VanEck Vectors Semiconductor ETF (SMH) is a diversified way to play the upside potential in semiconductors. The SMH ETF gave a buy signal on Thursday, and my next target number would give 50% return potential. If you would like to lever that trade with 3x the Beta, consider the Direction Semiconductor Bull 3x SOXL ETF. This offers 3x the upside potential of the PHLX Semiconductor Sector Index (SOX).

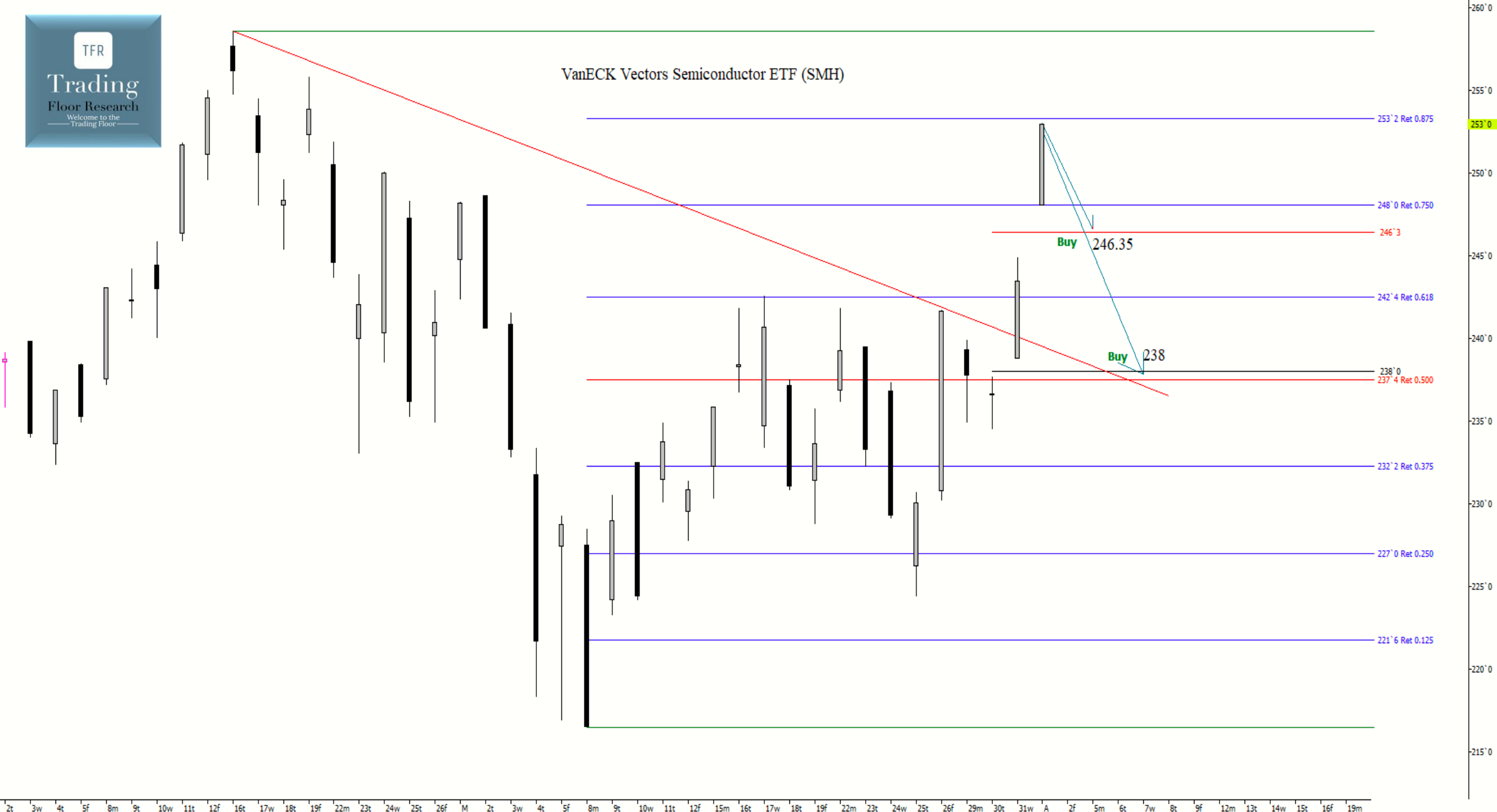


- Buy candidate: **VanEck Vectors Semiconductors ETF (SMH)**
- Industry Group: Exchange Traded Fund (ETF). Semiconductors gave a buy signal on Thursday last week.
- SMH is a portfolio of stocks like TSM, ASML , INTC, AMAT, MU, AVGO ,TXN, and LRCX
- SMH broke its declining trendline and gave a 20-day closing high buy signal with increased volume last week.
- Possible throwback to trendline and Fibonacci support number **at 238.00**.
- Start position at 2-star(\*\*) (Market concerns)
- Under accumulation with an Up/Down Volume ratio of 1.3.
- SMH closed at **252.94**.
- **1<sup>st</sup> Buy** when SMH pulls back to 50% of the Gap in Thursday's opening trade at **246.35**.
- **2<sup>nd</sup> buy opportunity** is if SMH pulls back to 238.10 Fibonacci target number, and throwback into trendline.
- Sell target prices: **1<sup>st</sup> target 370.20-375.60**, **2<sup>nd</sup> target is 384**.
- Stop sell price: **Close** below **238.10** (Keeping stops tight in this market)





VanEck Vectors Semiconductor ETF (SMH)



2t 3w 4t 5f 8m 9t 10w 11t 12f 16t 17w 18t 19f 22m 23t 24w 25t 26f M 2t 3w 4t 5f 8m 9t 10w 11t 12f 15m 16t 17w 18t 19f 22m 23t 24w 25t 26f 29m 30t 31w A 2f 5m 6t 7w 8t 9f 12m 13t 14w 15t 16f 19m



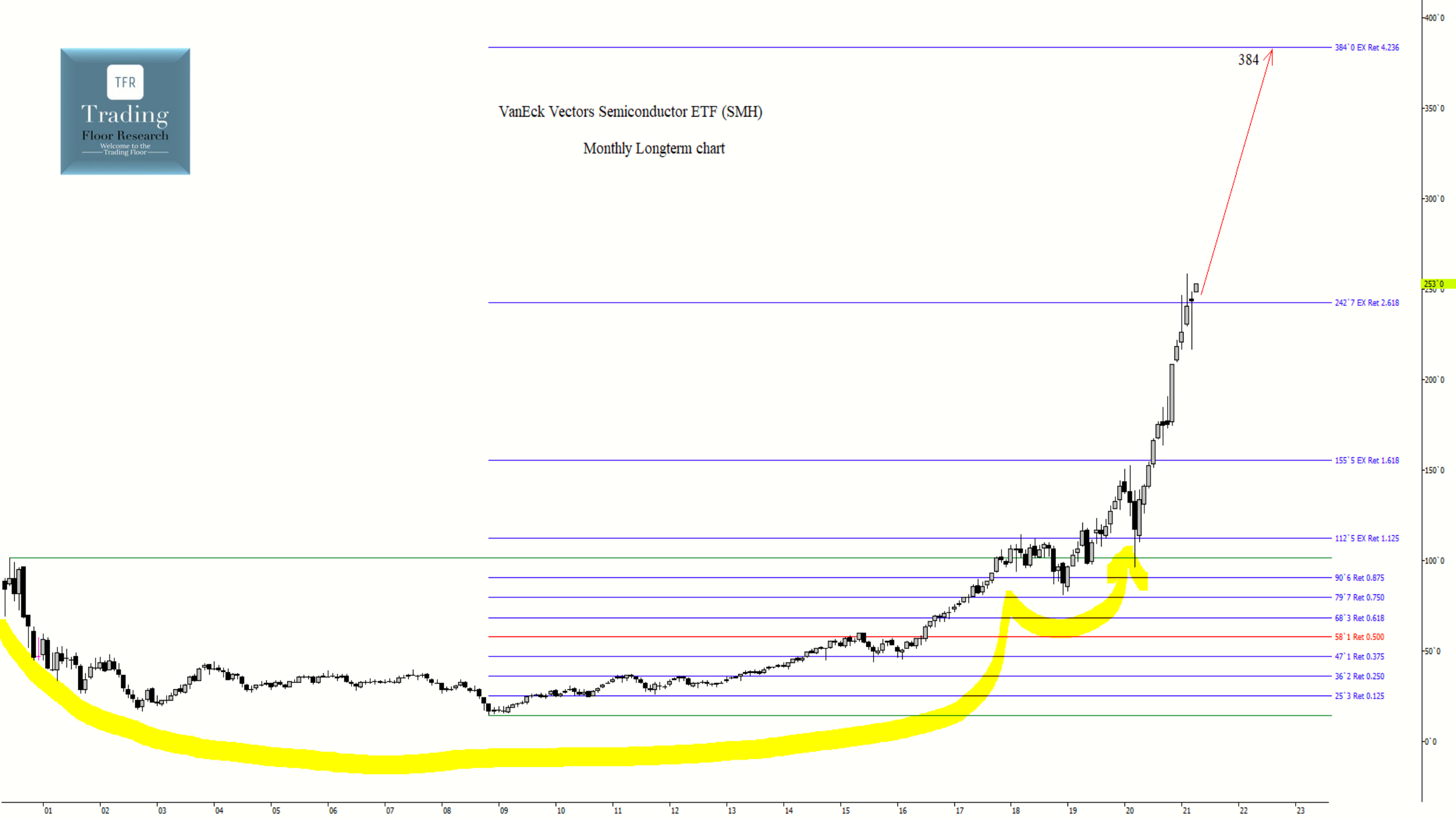
### VanEck Vectors Semiconductor ETF (SMH)





VanEck Vectors Semiconductor ETF (SMH)

Monthly Longterm chart



- Buy candidate: **Brooks Automation (BRKS)**
- Industry Group: Electronic Semiconductor Equipment industry group. The group gave a strong buy signal on Thursday.
- Brooks Automation makes automation and cryogenic products for semiconductor and life sciences applications worldwide.
- BRKS is ready to break out of a triple bottom base that has been forming the last 3-months.
- BRKS is ready to break a major all-time high that was made in May of 2000 at 91.88. A 21-year high is very significant. Stocks that do this begin major moves that could last for many years.
- BRKS closed above its 87.10 Fibonacci resistance number on Friday with volume that is 19% above average. Very strong buy signal.
- Start position at 2-star(\*\*) (Market concerns)
- Under accumulation with an Up/Down Volume ratio of 1.3.
- BRKS closed at **90.64**.
- **1<sup>st</sup> Buy when BRKS closes on the 30-minute chart above is trendline at 91.03 with increased volume.**
- **2<sup>nd</sup> buy opportunity is if BRKS pulls back to 87.10 Fibonacci target number.**
- Sell target prices: **1<sup>st</sup> target 138.77, 2<sup>nd</sup> target is 147.10.**
- Stop sell price: **Close** below **87.10**. (Keeping stops tight in this market)







Brooks Automation BRKS)

May 2000 High

21 year breakout at 91.88!

147.10

147.10 EX Ret 1.618

103.05 EX Ret 1.125

80.71 Ret 0.875

69.54 Ret 0.750

57.74 Ret 0.618

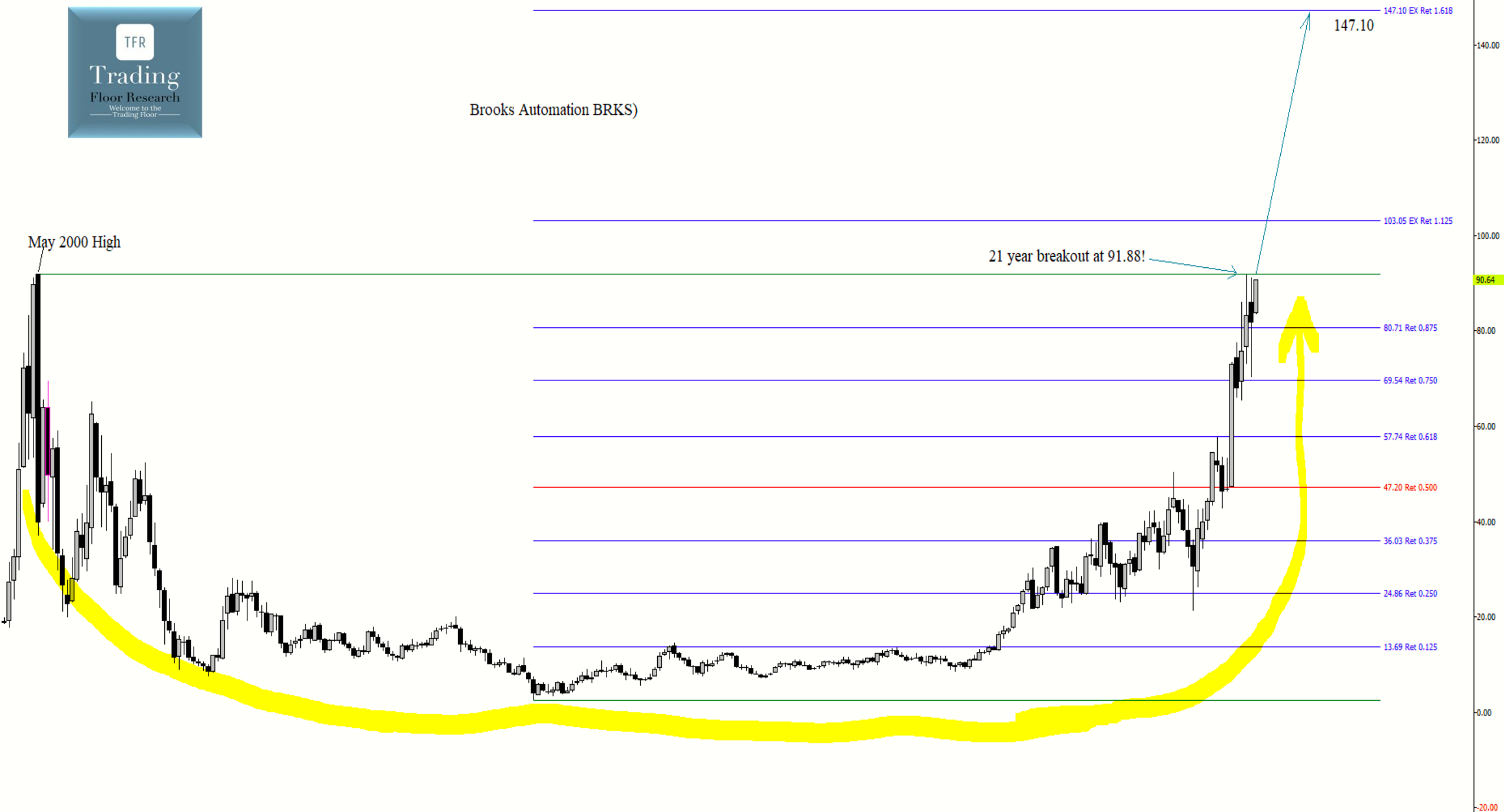
47.20 Ret 0.500

36.03 Ret 0.375

24.86 Ret 0.250

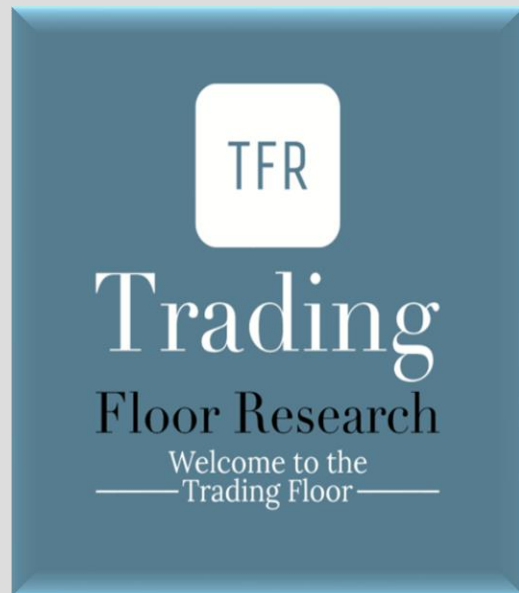
13.69 Ret 0.125

-140.00  
-120.00  
-100.00  
-80.00  
-60.00  
-40.00  
-20.00  
0.00  
-20.00





TFR open positions as of 03/28/2021:



NM	03/14/21 (3-star)	+18.65%
TOUR	03/17/21 (3-star)	-17.98%
CAN	03/30/21 (1-star)	+13.04%
ZKIN	03/31/21 (1-star)	+3.80%



## Results of TFR closed positions

XPEV	Sold position	+41.83%	OTIC	Sold position 12/22/20	+41.80%
DADA	Stopped out	-12.6%	BTBT	Sold position 12/30/20	+101.15%
GPRO	Stopped out	-2.31%	LUNG	Sold position 12/31/20	+41.88%
FUV	Sold position	+67.34%	GBTC	Sold position 01/05/21	+95.29%
BLNK	Sold position	+76.25%	ZKIN	Sold ½ position 01/05/21	+28.57%
OPTT	Sold ½ position	+128%	TGC	Sold position 01/28/21	+309.82%
OPTT	Stopped out 1/2 position	+49.13%	SNMP	Sold position 01/28/21	+122.58%
BBIO	Sold Position	+21.81%	GP	Sold position 01/25/21	+41.29%
CVAC	Sold Position	+66.81%	GMDA	Sold position 01/26/21	-6.87%
NGMS	Stopped out	-11.22%	TUSK	Sold position 01/27/21	+1.77%
EH	Sold Position	+61.78%	ZKIN	Sold position 01/27/21	+16.17%
UFAB	Sold Position	+43.60%			

## Results of TFR closed positions

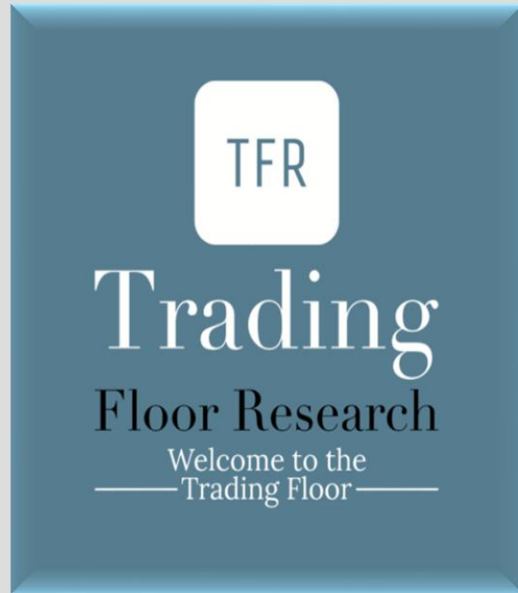


CLIR	Sold position 01/28/21	+17.44%
VVPR	Sold position 01/27/21	+34.13%
CHNR	Sold position 01/27/21	-18.01%
ARYA	Sold position 02/08/21	+95.26%
USWS	Sold position 02/18/21	+217.90%
ARYA	Sold position 02/27/21	0.00%
NBAC	Sold position 02/26/21	-6.87%
SDPI	Sold position 02/26/21	+8.42%
BRN	Sold position 02/26/21	-14.15%
FURY	Sold position 02/26/21	-22.52%
GHSI	Sold position 02/25/21	-30.33%



## Results of TFR closed positions

OGEN	Sold position 02/26/21	0.00%
TTOO	Sold position 02/25/21	+33.85%
THCA	Sold position 02/26/21	-6.14%
XELA	Sold position 02/26/21	-10.29%
AMST	Sold position 02/26/21	+6.45%
TUSK	Sold position 03/19/21	-23.69%
MBII	Sold position 03/24/21	+23.91%
PANL	Sold position 03/24/21	-1.85%





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